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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		<i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>
		Attorney Docket No. <b>YOR920030056US1(8728-607)</b>
		First Inventor <b>Lawrence Bergman</b>
		Title <b>SYSTEMS AND METHODS FOR ...</b>
		Express Mail Label No. <b>EV 329488945US</b>

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b>	
See MPEP chapter 600 concerning <i>utility patent application contents</i> .		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3. <input checked="" type="checkbox"/> Specification [Total Pages <b>38</b> ] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets <b>4</b> ]		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5. Oath or Declaration [ Total Pages <b>2</b> ]		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of <i>(when there is an assignee)</i> <input checked="" type="checkbox"/> Attorney	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i>		11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i>		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS 13. <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Citations	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
		16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 <i>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</i>	
		17. <input checked="" type="checkbox"/> Other: <b>Associate Power of Attorney &amp; Credit Card Payment Form</b>	

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	<i>(Insert Customer No. or Attach bar code label here)</i>			<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	Frank Chau			
Address	F. CHAU & ASSOCIATES, LLP			
	1900 Hempstead Turnpike, Suite 501			
City	East Meadow	State	New York	Zip Code 11554
Country	U.S.A	Telephone	(516) 357-0091	Fax (516) 357-0092
Name (Print/Type)	Frank V. DeRosa	Registration No. (Attorney/Agent)		43,584
Signature	<i>Frank Chau</i>		Date	7/30/03

16235 U.S. PTO  
10/630959

07/30/03

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

ATTORNEY DOCKET NO. Y0R920030056US1 (8728-607)

Date: July 30, 2003

Express Mail Label: EV329488945US

Date of Deposit: July 30, 2003

Transmitted herewith for filing is the Patent Application of:

Inventors: Lawrence Bergman, Vittorio Castelli, Tessa Lau, Daniel Oblinger  
For: SYSTEMS AND METHODS FOR GENERATING AND DISTRIBUTING EXECUTABLE PROCEDURES  
FOR TECHNICAL DESK-SIDE SUPPORT

Enclosed are: [X] 28 sheets of specification; [X] 1 sheet(s) of Abstract; [X] 9 sheet(s) of claims; [X] 4 sheet(s) of drawing(s);

[X] An assignment of the invention to: International Business Machines Corporation, Armonk, New York,  
with Recordation Form.

[X] Declaration and Power of Attorney.

[ ] A certified copy of a \_\_\_\_\_ application, from which priority under Title 35 USC §119 is claimed.

[X] Associate Power of Attorney.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	34 -20 =	14
INDEP CLAIMS	5 -3 =	2
<u>MULTIPLE DEPENDENT CLAIMS PRESENTED</u>		

If the difference in Col. 1 is less than zero, enter "0"  
in Col. 2.

OTHER THAN A SMALL ENTITY	
RATE	FEES
	\$ 750.00
X \$18 =	\$ 252.00
X \$84 =	\$ 168.00
+ 280 =	
TOTAL	\$1,170.00

[ ] Checks in the amount of \$\_\_\_\_ and \$\_\_\_\_ to cover the filing fee(s) and recording fee are enclosed.

[X] Please charge my Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$1,170.00.

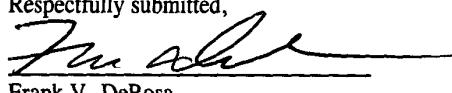
[X] The Commissioner is hereby authorized to charge payment of the following fees  
associated with this communication or credit any overpayment to  
Deposit Account No. 50-0510/IBM (Yorktown Heights). A duplicate copy of this sheet is enclosed.

[X] Any additional filing fees required under 37 CFR 1.16.

[X] Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By:



Frank V. DeRosa

Registration No. 43,584

Attorney for:

IBM Corporation

Intellectual Property Law Dept.

P.O. Box 218

Yorktown Heights, NY 10598

Please address all

correspondence to:

F. CHAU & ASSOCIATES, LLP

1900 Hempstead Turnpike, Suite 501

East Meadow, NY 11554

Tel: (516) 357-0091

Fax: (516) 357-0092

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this Application transmittal and the documents referred to as enclosed are being deposited with the United States Postal Service on this date July 30, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV329488945US addressed to: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.



Frank V. DeRosa

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# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,210.00)

## Complete if Known

Application Number	
Filing Date	July 30, 2003
First Named Inventor	Lawrence Bergman
Examiner Name	
Art Unit	
Attorney Docket No.	YOR920030056US1(8728-607)

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number 50-0510  
Deposit Account Name IBM-YORKTOWN HEIGHTS

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1001	750	2001	375		Utility filing fee	750	
1002	330	2002	165		Design filing fee		
1003	520	2003	260		Plant filing fee		
1004	750	2004	375		Reissue filing fee		
1005	160	2005	80		Provisional filing fee		
SUBTOTAL (1)		(\$ 750.00)					

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	34	Extra Claims below	Fee from below	Fee Paid
Independent Claims	5	-20** = 14	x 18	= 252
Multiple Dependent		- 3** = 2	x 84	= 168
			280	

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description
1202	18	2202	9		Claims in excess of 20	
1201	84	2201	42		Independent claims in excess of 3	
1203	280	2203	140		Multiple dependent claim, if not paid	
1204	84	2204	42		** Reissue independent claims over original patent	
1205	18	2205	9		** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$ 420.00)				

\*\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES							
Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1051	130	2051	65		Surcharge - late filing fee or oath		
1052	50	2052	25		Surcharge - late provisional filing fee or cover sheet		
1053	130	1053	130		Non-English specification		
1812	2,520	1812	2,520		For filing a request for ex parte reexamination		
1804	920*	1804	920*		Requesting publication of SIR prior to Examiner action		
1805	1,840*	1805	1,840*		Requesting publication of SIR after Examiner action		
1251	110	2251	55		Extension for reply within first month		
1252	410	2252	205		Extension for reply within second month		
1253	930	2253	465		Extension for reply within third month		
1254	1,450	2254	725		Extension for reply within fourth month		
1255	1,970	2255	985		Extension for reply within fifth month		
1401	320	2401	160		Notice of Appeal		
1402	320	2402	160		Filing a brief in support of an appeal		
1403	280	2403	140		Request for oral hearing		
1451	1,510	1451	1,510		Petition to institute a public use proceeding		
1452	110	2452	55		Petition to revive - unavoidable		
1453	1,300	2453	650		Petition to revive - unintentional		
1501	1,300	2501	650		Utility issue fee (or reissue)		
1502	470	2502	235		Design issue fee		
1503	630	2503	315		Plant issue fee		
1460	130	1460	130		Petitions to the Commissioner		
1807	50	1807	50		Processing fee under 37 CFR 1.17(q)		
1806	180	1806	180		Submission of Information Disclosure Stmt		
8021	40	8021	40		Recording each patent assignment per property (times number of properties)		40
1809	750	2809	375		Filing a submission after final rejection (37 CFR 1.129(a))		
1810	750	2810	375		For each additional invention to be examined (37 CFR 1.129(b))		
1801	750	2801	375		Request for Continued Examination (RCE)		
1802	900	1802	900		Request for expedited examination of a design application		

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY					(Complete if applicable)	
Name (Print/Type)	Frank V. DeRosa		Registration No. (Attorney/Agent)	43,584	Telephone	(516) 357-0091
Signature			Date	7/30/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.